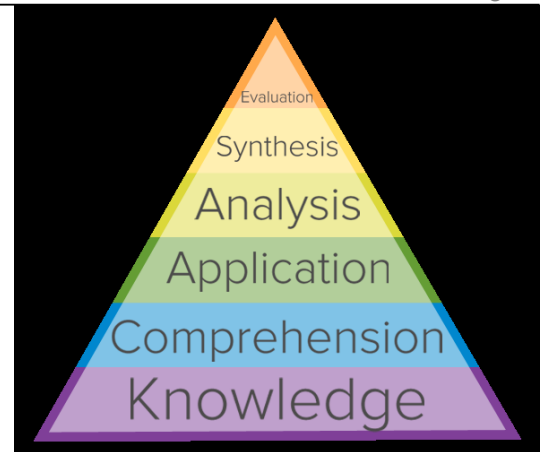


# §1 Introduction

## Bloom's Hierarchy of cognitive learning



- *What is thought is not said*
- *What is said is not heard*
- *What is heard is not understood*
- *What is understood is not believed*
- *What is believed is not yet advocated*
- *What is advocated is not yet acted on*
- *What is acted on is not yet completed*

Konrad  
Lorenz  
(Nobel  
Prize  
1973)

# Syllabus

- "Virtues" of Computer Science
- Algorithms vs. Heuristic, Program
- Asymptotic Efficiency
- Example: Powering
- Example: Fibonacci Numbers
- Example: Polynomial Multiplication

## "Virtues":

- problem specification
- formal semantics
- **algorithm design**  $\neq$  program  
 $\neq$  heuristic
- and analysis  
(correctness, efficiency)
- proof of optimality  
(complexity, CS422)



## Algorithm $\neq$ Heuristic, Program

An algorithm is a

- finite sequence of
- primitive instructions that, executed according to their
- well-specified semantics, provide a *mechanical* solution to the *infinitely* many instances of a possibly *complex* mathematical problem.

1. fully specified (input/output)
2. guaranteed correct (no heuristic/recipe)
3. analysis of cost (runtime, memory, ...)
4. optimality proof (wrt a model of computation)

```

    mov esi, offset list
top:   mov edi, esi
inner: mov eax, [edi]
      mov edx, [edi+4]
      cmp eax, edx
      jle no_swap
      mov [edi+4], eax
      mov [edi], edx
no_swap: add edi, 4
        cmp edi, list_end - 4
        jb inner
        add esi, 4
        cmp esi, list_end - 4
        jb top
    
```

- primitive operations
- their semantics
- their costs

```

# vowels list
vowels = ['e', 'a', 'u', 'o', 'i']
# sort the vowels
vowels.sort()
# print vowels
print('Sorted list:', vowels)
    
```

## Asymptotic Efficiency

$n$	$\log_2 n \cdot 10s$	$n \cdot \log n$ sec	$n^2$ msec	$n^3$ $\mu$ sec	$2^n$ nsec
10	33sec	33sec	0.1sec	1msec	1msec
100	$\approx 1min$	11min	10sec	1sec	40 Mrd. Y
1000	$\approx 1.5min$	$\approx 3h$	17min	17min	
10 000	$\approx 2min$	1.5 days	$\approx 1$ day	11 days	
100 000	$\approx 2.5min$	19 days	4 months	32 years	

- Running times of some sorting algorithms
  - **BubbleSort**:  $O(n^2)$  comparisons and copy instructions
  - **QuickSort**: typically  $O(n \cdot \log n)$  steps but  $O(n^2)$  in the worst-case
  - **HeapSort**: always at most  $O(n \cdot \log n)$  operations
  - **BucketSort**:  $O(n)$  operations
  - SORT primitive:  $O(1)$
- **Worst-case** vs. **average-case** vs. **best case**  
w.r.t. input size =:  $n \rightarrow \infty$

## Optimality?

**Powering Problem:** Given  $X$  and  $n \in \mathbb{N}$ .

Compute  $X^n$  with few(est number of) multiplications

- $X^n = X \cdot X \cdot \dots \cdot X$  :  $n-1$  multiplications
- Let  $k := \lfloor n/2 \rfloor$  and recursively compute  $X^k$ ,  
then compute  $X^n = (X^k)^2$  or  $X^n = (X^k)^2 \cdot X$
- #multiplications  $T(n) \leq T(n/2) + 2$ ,  $T(n) \leq 2 \cdot \log_2(n)$
- Asympt. optimality: Each multiplication at most doubles the degree of the intermediate results; so computing  $x^n$  requires at least  $\log_2 n$  of them.

# Example: Fibonacci Numbers

```

FibIter(n)
if n=0 return 0;
fib := 1; fibL := 0;
while n>1 do
    tmp:=fibL;
    fibL := fib;
    fib := fibL ⊕ tmp;
    n := n-1 ; end
return fib;
    
```

$$F_0=0, \quad F_1=1, \quad F_n = F_{n-1} + F_{n-2}$$

```

FibRek(n)
if n=0 return 0; if n=1 return 0;
return FibRek(n-1) ⊕ FibRek(n-2);
    
```

$$F_n = (\varphi^n - (-1/\varphi)^n) / \sqrt{5}$$

$$\varphi := (1 + \sqrt{5})/2$$

$$\begin{vmatrix} F_n \\ F_{n-1} \end{vmatrix} = \begin{vmatrix} 1 & 1 \\ 1 & 0 \end{vmatrix} \cdot \begin{vmatrix} F_{n-1} \\ F_{n-2} \end{vmatrix} = \begin{vmatrix} 1 & 1 \\ 1 & 0 \end{vmatrix} \cdot \begin{vmatrix} F_{n-k} \\ F_{n-k-1} \end{vmatrix} \quad k := n-1$$

# Example: Polynomial Multiplication

## Long Multiplication

Input: coefficients of polynomials

$$A(x) = a_0 + a_1x + a_2x^2 + \dots + a_{N-1}x^{N-1} \quad \text{and } B(x) \text{ of degree } < N.$$

Output: coefficients of polynomial

w.l.o.g.  $2|N$

$$C(x) := A(x) \cdot B(x) \quad \text{of degree } \leq K := 2N-2. \quad \text{e.g. } \times(-5) \text{ or } +$$

$T(N) :=$  #arithmetic operations (multiplications, linear combinat.s)

Recursive algorithm,     **Distributive law:**  $T(N) = 4 \cdot T(N/2) + O(N)$

"Naïve"  $c_k = \sum_j a_j \cdot b_{k-j}$

$$(A_0(x) + A_1(x) \cdot x^{N/2}) \cdot (B_0(x) + B_1(x) \cdot x^{N/2}) = C_0(x) + C_1(x) \cdot x^{N/2} + C_2(x) \cdot x^N$$

$$C_0(x) = A_0(x) \cdot B_0(x) \quad C_1(x) = A_0(x) \cdot B_1(x) + A_1(x) \cdot B_0(x) \quad C_2(x) = A_1(x) \cdot B_1(x)$$

$$C(x) = \begin{array}{|c|c|c|c|} \hline c_0, \dots, c_{N/2-1} & c_{N/2}, \dots, c_{N-1} & c_{N+1}, \dots, c_{3/2 \cdot N-1} & c_{3/2 \cdot N-1}, \dots, c_{2N-2} \\ \hline \end{array}$$

# Example: Polynomial Multiplication

## Karatsuba

Input: coefficients of polynomials

$$A(x) = a_0 + a_1x + a_2x^2 + \dots + a_{N-1}x^{N-1} \quad \text{and } B(x) \text{ of degree } < N.$$

Output: coefficients of polynomial

w.l.o.g.  $2|N$

$$C(x) := A(x) \cdot B(x) \quad \text{of degree } \leq K := 2N-2. \quad \text{e.g. } \times(-5) \text{ or } +$$

$T(N) :=$  #arithmetic operations (multiplications, linear combinat.s)

Recursive algorithm,     **Distributive law:**  $T(N) = 4 \cdot T(N/2) + O(N)$

based on:     **„Karatsuba law“:**  $T(N) = 3 \cdot T(N/2) + O(N)$

$$(A_0(x) + A_1(x) \cdot x^{N/2}) \cdot (B_0(x) + B_1(x) \cdot x^{N/2}) = C_0(x) + C_1(x) \cdot x^{N/2} + C_2(x) \cdot x^N$$

$$C_0(x) := A_0(x) \cdot B_0(x), \quad C_2(x) := A_1(x) \cdot B_1(x)$$

$$C_1(x) := (A_0(x) + A_1(x)) \cdot (B_0(x) + B_1(x)) - C_0(x) - C_2(x)$$

# Example: Polynomial Multiplication

$$\begin{aligned}
 T_1 &:= (A_0 + 2A_1 + 4A_2) \odot (B_0 + 2B_1 + 4B_2) \\
 T_2 &:= (A_0 + A_1 + A_2) \odot (B_0 + B_1 + B_2) \\
 T_3 &:= (4A_0 + 2A_1 + A_2) \odot (4B_0 + 2B_1 + B_2)
 \end{aligned}$$

**Toom**

w.l.o.g.  $3|N$

$$C_3 = -C_0 + \frac{1}{3}T_1 - 2T_2 + \frac{1}{6}T_3 - 3\frac{1}{2}C_4$$

$$C_2 = 3\frac{1}{2}C_0 - \frac{1}{2}T_1 + 5T_2 - \frac{1}{2}T_3 + 3\frac{1}{2}C_4$$

$$C_1 = -3\frac{1}{2}C_0 + \frac{1}{6}T_1 - 2T_2 + \frac{1}{3}T_3 - C_4$$

$$C_0 = A_0 \odot B_0,$$

Distributive law:  $T(N) = 4 \cdot T(N/2) + O(N)$

$$C_4 = A_2 \odot B_2$$

„Karatsuba law“:  $T(N) = 3 \cdot T(N/2) + O(N)$

„Toom’s law“:  $T(N) = 5 \cdot T(N/3) + O(N)$

$$\begin{aligned}
 &(A_0(x) + A_1(x) \cdot x^{N/3} + A_2(x) \cdot x^{2N/3}) \times (B_0(x) + B_1(x) \cdot x^{N/3} + B_2(x) \cdot x^{2N/3}) \\
 &= C_0(x) + C_1(x) \cdot x^{N/3} + C_2(x) \cdot x^{2N/3} + C_3(x) \cdot x^{3N/3} + C_4(x) \cdot x^{4N/3}
 \end{aligned}$$

# Example: Polynomial Multiplication

**Toom-Cook**

Input: coeff. of  $A(x) = a_0 + a_1x + a_2x^2 + \dots$  of  $\deg < N$ ,  $B(x)$  of  $\deg < M$

Output: coefficients  $c_0, \dots, c_K$  of  $C(x) := A(x) \cdot B(x)$ ,  $\deg(C) \leq K$   
 $:= N + M - 2$

Long Multiplication:  $N \cdot M$  products & linear combinations

$$\begin{bmatrix} 1 & x_0 & x_0^2 & \dots & x_0^K \\ 1 & x_1 & x_1^2 & \dots & x_1^K \\ \vdots & & & & \\ 1 & x_K & x_K^2 & \dots & x_K^K \end{bmatrix}^{-1} \cdot \begin{bmatrix} A(x_0) \cdot B(x_0) \\ A(x_1) \cdot B(x_1) \\ \vdots \\ A(x_K) \cdot B(x_K) \end{bmatrix} = \begin{bmatrix} c_0 \\ c_1 \\ \vdots \\ c_K \end{bmatrix}$$

Vandermonde Matrix  
invertible for any  
distinct fixed  $x_0, \dots, x_K$

Evaluation/Interpolation:  $K+1$  products,  $O(N^2 + M^2)$  linear comb.s

$$T(N, M) = (n+m-1) \cdot T(N/n, M/m) + O((N+M) \cdot (n+m))$$

$$\begin{aligned}
 &(A_0(x) + A_1(x) \cdot x^{N/n} + A_2(x) \cdot x^{2N/n} + \dots + A_{n-1}(x) \cdot x^{(n-1) \cdot N/n}) \\
 &\times (B_0(x) + B_1(x) \cdot x^{M/m} + B_2(x) \cdot x^{2M/m} + \dots + B_{m-1}(x) \cdot x^{(m-1) \cdot M/m})
 \end{aligned}$$