# §2 Computability over the Reals



#### a) Computing Real Numbers

- Three equivalent notions,
- counter/examples, oracle-computable reals

#### b) Computing Real Sequences

- semi-decidability / strong undecidability of Equality
- every computable sequence misses a computable Real

#### c) Computing Real Functions

- •closure properties: composition, restriction, sequences
- necessarily continuous
- Computable Weierstrass Theorem
- quantitative continuity

# §2 Computability over the Reals



#### d/e) <u>Un</u>/computability with Real Functions

- un/computable Derivative
- un/computable Wave Equation
- un/computable Root Finding

#### f) Multi-Functions & Enrichment

- generalized restriction, fundamental theorem of algebra
- real computation, fuzzy sign/Heaviside,
- Archimedian property, linear algebra, analytic functions

#### g) Computing Real Operators

- Encoding continuous functionsUniform computability
- Encoding compact subsetsBoolean Set Operations

# a) Computing Real Numbers



**Theorem:** For  $r \in \mathbb{R}$ , the following are equivalent: Def: Call  $r \in \mathbb{R}$  computable if a) r has a decidable binary expansion

$$\{n:b_n=1\}\subseteq\mathbb{N} \text{ for } r=\sum_n b_n/2^n.$$

- b) There exists an algorithm computing a sequence  $(a_n) \subseteq \mathbb{Z}$  with  $|r-a_n/2^n| \leq 2^{-n}$ .
- c) There exist algorithms computing three sequences  $(a_m),(b_m),(c_m)\subseteq \mathbb{Z}$ with  $|r-a_m/b_m| \leq 1/c_m \rightarrow 0$

Ernst Specker (1949):  $(c)^H \Leftrightarrow (d)$ 

oracle

d) There is an algorithm computing  $(q_n) \subseteq \mathbb{Q}$  s.t.  $q_n \rightarrow r$ .

 $H=\{\langle \mathcal{A},x\rangle: \text{ algorithm } \mathcal{A} \text{ terminates on input } x\} \subseteq \mathbb{N}$ 

# **Proofs (Sketches)**



#### **Theorem:** For $r \in \mathbb{R}$ , the following are equivalent:

- a) r has a decidable binary expansion  $\{n: h=1\}$   $\subset \mathbb{N}$  for  $r=\sum_{n=1}^{\infty} h/2^n$ 
  - $\{n:b_n=1\}\subseteq\mathbb{N} \text{ for } r=\sum_n b_n/2^n.$
- b) There exists an algorithm computing a sequence  $(a_n)$   $\subseteq \mathbb{Z}$  with  $|r-a_n/2^n| \le 2^{-n}$ .
- c) There exist algorithms computing three sequences  $(a_m),(b_m),(c_m)\subseteq \mathbb{Z}$  with  $|r-a_m/b_m| \leq 1/c_m \to 0$

**Lemma:** For  $r \in \mathbb{R}$  and  $(a_n) \subseteq \mathbb{Z}$  with  $|r - a_n/2^n| \le 2^{-n}$ ,

 $r < 0 \iff \exists n: a_n < 1 \text{ and } r > 0 \iff \exists n: a_n > 1$ 

**Lemma:** For  $|x-y| \le 1/2^{n+1}$ ,  $a := \lfloor y \cdot 2^n \rfloor$  has  $|x-a/2^n| \le 2^{-n}$ 

## **Examples: Computable Reals**



- a) Every dyadic rational has two binary expansions
- b) Every rational has a computable binary expansion
- c) If a,b are computable, so are a+b,  $a\cdot b$ , 1/a ( $a\neq 0$ )
- d) Fix  $p \in \mathbb{R}[X]$ . Then p's coefficients are computable  $\Leftrightarrow p(x)$  is computable for all computable x.
- e) Every algebraic number is computable; and so is  $\pi$ .
- f) If x is computable, then so are  $\exp(x)$ ,  $\sin(x)$ ,  $\log(x)$
- g) Specker's sequence  $(\sum_{m < j, t(m) < j} 2^{-m})_j$  is "computable", where  $\{0,1,2,\ldots,\infty\}$   $\ni t(\langle \mathcal{A},x\rangle):=\#$  steps  $\mathcal{A}$  makes on x.

Compute r: on input  $n \in \mathbb{N}$  output  $a \in \mathbb{Z}$  st.  $|r-a/2^n| \le 2^{-n}$ 

# **Oracle-Computable Reals**



$$\mathcal{P} = (x_j := 0, 1 \mid x_j := x_i \pm x_k \mid x_j := x_i \div 2 \mid x_j := \varphi(x_i) \mid \mathcal{P}; \mathcal{P} \mid \text{WHILE } x_j \text{ DO } P \text{ END })$$

Fix some arbitrary total  $\phi:\mathbb{N}\to\mathbb{N}$ 

**Real Limit Lemma:** If <u>computable</u> sequence  $(r_j)$  converges, then  $r:=\lim_j r_j$  is computable <u>with oracle</u> H. And to every real r computable <u>with oracle</u> H, there is a <u>computable</u> sequence  $(r_i)$  with  $r=\lim_i r_i$ .

g) Specker's sequence  $(\sum_{m < j, t(m) < j} 2^{-m})_j$  is "computable", where  $\{0, 1, 2, \infty\}$   $\ni t(\langle \mathcal{A}, x \rangle) := \# \text{steps } \mathcal{A} \text{ makes on } x.$ 

Compute r: on input  $n \in \mathbb{N}$  output  $a \in \mathbb{Z}$  st.  $|r-a/2^n| \le 2^{-n}$ 

# b) Computing Real Sequences KAISI CS700 M. Ziegler



**Def:** Compute sequence  $(r_i) \subseteq \mathbb{R}$ : on input  $\langle n,j \rangle \in \mathbb{N}$ output some  $a=a_{n,i}\in\mathbb{Z}$  with  $|r_i-a/2^n|\leq 2^{-n}$ .

**Proposition:** If  $(r_i)$  is a computable sequence s.t.  $|r_i-r_i| \le 2^{-j}+2^{-i}$ , then  $\lim_i r_i$  is a computable real.

**Proposition:** If  $(r_i)$  is a computable sequence, then  $\{j: r_i \neq 0\}$  is semi-decidable.

In numerics, don't test for (in-)equality!

**Examples:** a) 1/j! is a computable sequence.

- **b)**  $cf_H(j) \in \{0,1\}$  is an *un*computable sequence.
- **c)**  $r_i := 1/2^{t(j)} \in [0,1]$  is a computable sequence with  $\{j:r_i\neq 0\}=H$ , the Halting problem.

# Any computable real sequence misses some computable real



**Def:** Compute sequence  $(r_j) \subseteq \mathbb{R}$ : on input  $\langle n,j \rangle \in \mathbb{N}$  output some  $a = a_{n,j} \in \mathbb{Z}$  with  $|r_j - a/2^n| \le 2^{-n}$ .

#### **Proof (Diagonalization):**

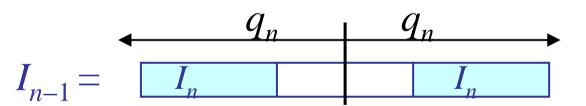
Consider 'diagonal' sequence  $q_n := a_{2n+2,n}/2^{2n+1} \in \mathbb{Q}$ .

Inductively define nested intervals  $I_n \subseteq I_{n-1}$  of width  $1/3^n$ 

such that  $r_n \notin I_n$ .

Hence  $\{x\} = \bigcap_n I_n$ 

with computable  $x \neq r_n$ .



- b) computing a sequence  $(a_n) \subseteq \mathbb{Z}$  with  $|r-a_n/2^n| \le 2^{-n}$ .
- c) computing three sequences  $(a_m)$ ,  $(b_m)$ ,  $(c_m) \subseteq \mathbb{Z}$  with  $|r-a_m/b_m| \le 1/c_m \to 0$

# c) Computing Real Functions KAISI CS700 M. Ziegler



**Def:** Compute sequence  $(r_i) \subseteq \mathbb{R}$ : on input  $\langle n,j \rangle \in \mathbb{N}$ output some  $a=a_{n,i}\in\mathbb{Z}$  with  $|r_i-a/2^n|\leq 2^{-n}$ .

**Def:** To compute  $f: \subseteq \mathbb{R} \to \mathbb{R}$  means:

Convert any  $(a_m)\subseteq \mathbb{Z}$  with  $|x-a_m/2^m| \leq 2^{-m}$ ,  $x \in \text{dom}(f)$ ,

to some  $(b_n)\subseteq \mathbb{Z}$  with  $|y-b_n/2^n| \le 2^{-n}$ , y=f(x).

Behave arbitrarily for  $x \notin dom(f)$  or  $\exists m: |x-a_m/2^m| > 2^{-m}$ 

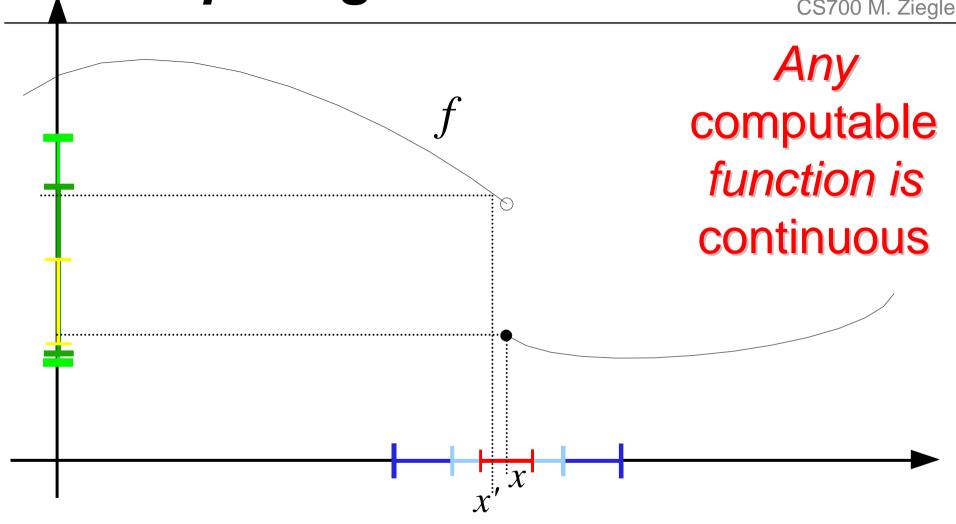
**Lemma: a)** If  $f: \subseteq \mathbb{R} \to \mathbb{R}$  is computable and  $(r_i) \subseteq \text{dom}(f)$ are computable, then  $f(r_i)$  is a computable sequence.

- b) Computable functions are closed under composition
- c) Any restriction of a comput. function is computable.

Compute r: on input  $n \in \mathbb{N}$  output  $a \in \mathbb{Z}$  st.  $|r-a/2^n| \le 2^{-n}$ 

# Computing Real Functions





**Def:** Convert any  $(a_m) \subseteq \mathbb{Z}$  with  $|x-a_m/2^m| \le 2^{-m}$ , to some  $(b_n) \subseteq \mathbb{Z}$  with  $|y-b_n/2^n| \le 2^{-n}$ , y=f(x).

### **Computable Weierstrass Theorem**



**Theorem:** For  $f:[0,1] \rightarrow \mathbb{R}$  the following are equivalent:

- a) There is an algorithm <u>converting</u> any  $\underline{a}=(a_m)\subseteq \mathbb{Z}$  with  $|x-a_m/2^m| \le 2^{-m}$ , to  $(b_n)\in \mathbb{Z}$  with  $|f(x)-b_n/2^n| \le 2^{-n}$
- b) There is an algorithm <u>printing</u> a sequence (of deg.s and coefficient lists of)  $(P_n) \subseteq \mathbb{D}[X]$  with  $||f P_n||_{\infty} \le 2^{-n}$
- c) The real 'sequence' f(q),  $q \in \mathbb{D} \cap [0,1]$ , is computable  $\land f$  admits a computable modulus of (unif) continuity

$$|x-y| \le 2^{-\mu(n)} \implies |f(x)-f(y)| \le 2^{-n}$$

**Proof:**  $b \Leftrightarrow c \Rightarrow a \Rightarrow c$ 

$$\mathbb{D}_n := \{ a/2^n : a \in \mathbb{Z} \}, \qquad \mathbb{D} := \bigcup_n \mathbb{D}_n,$$

# **Quantitative Continuity**



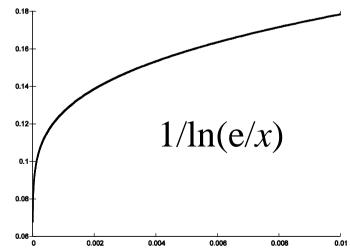
**Definition**: Fix metric spaces (X,d) and (Y,e).

A modulus of continuity of  $f:(X,d) \rightarrow (Y,e)$  is any  $\mu: \mathbb{N} \rightarrow \mathbb{N}$  such that  $d(x,x') \leq 2^{-\mu(n)}$  implies  $e(f(x),f(x')) \leq 2^{-n}$ 

If  $f:X \to Y$  has  $\mu$  and  $g:Y \to Z$  has  $\nu$ , then  $g \circ f$  has  $\mu \circ \nu$ .

**Example:** Lipschitz-continuous  $\Leftrightarrow$  modulus  $\mu(n) \le n + O(1)$ 

- b) Hölder-continuous  $\Leftrightarrow$  modulus  $\mu(n) \leq O(n)$
- c)  $h: [0;1] \ni x \to 1/\ln(e/x) \in [0;1]$  has (only) exponential modulus.

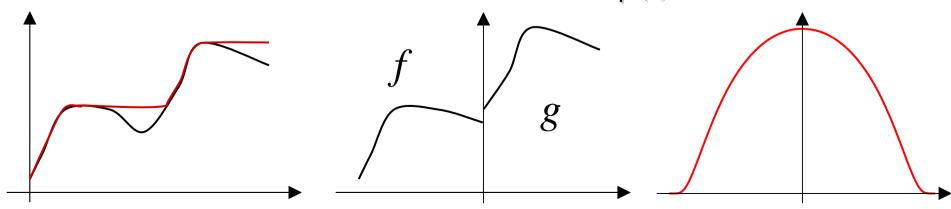


d)  $h \circ h$ : (only) doubly exponential modulus.

#### **Examples of Computable Real Functions**



- a) +, -,  $\times$ ,  $\div$ ,  $\sqrt{}$ , exp,  $\log_e$ ,  $\sin$ ,  $\cos$  are computable
- b) Let  $f \in C[0,1]$  be computable. Then so are  $f: x \to \int_0^x f(t) dt$  and  $\max(f): x \to \max\{f(t): t \le x\}$ .
- c) For computable  $f:[-1,0] \rightarrow \mathbb{R}$ ,  $g:[0,1] \rightarrow \mathbb{R}$  with f(0)=g(0), their join is computable.
- d)  $C^{\infty}$  'pulse' mollifier  $\varphi(t) = \exp(-t^2/1-t^2)$  for -1 < t < 1,  $\varphi(t) = 0$  otherwise.



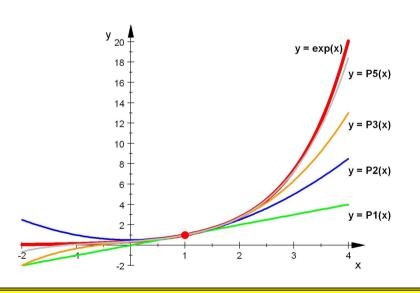
# **Example Proofs (Sketch)**

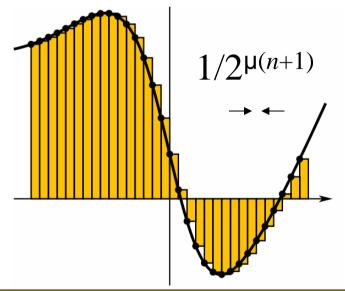


### a) $\exp:\mathbb{R} \to \mathbb{R}$ is a computable function

$$|\exp(t) - (1+t+t^2/2+t^3/6+...+t^n/n!)| \le 1/2^n \text{ for } |t| \le 1$$
  
 $\exp(t+k) = \exp(t) \cdot \exp(1) \cdot \exp(1), k \in \mathbb{N}$ 

b)  $f \in C[0,1]$  computable  $\Rightarrow \max(f):x \rightarrow \max\{f(t):t \le x\}$ 





To compute  $f:K \subseteq \mathbb{R} \to \mathbb{R}$ : compute real 'sequence' f(q),  $q \in \mathbb{D} \cap K$ ; and compute *modulus* of continuity  $\mu:\mathbb{N} \to \mathbb{N}$ 

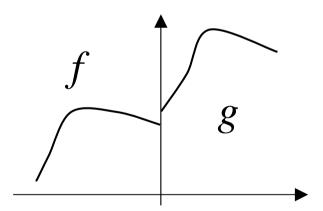
# **Example Proofs (continued)**



a)  $\exp:\mathbb{R} \to \mathbb{R}$  is a computable function

b)  $f \in C[0,1]$  computable  $\Rightarrow$  so are  $\int f: x \rightarrow \int_0^x f(t) dt$  and  $\max(f): x \rightarrow \max\{f(t): t \le x\}$ 

c) For computable  $f:[-1,0] \rightarrow \mathbb{R}$ ,  $g:[0,1] \rightarrow \mathbb{R}$  with f(0)=g(0), their join is computable.



To compute  $f:K \subseteq \mathbb{R} \to \mathbb{R}$ : compute real 'sequence' f(q),  $q \in \mathbb{D} \cap K$ ; and compute *modulus* of continuity  $\mu:\mathbb{N} \to \mathbb{N}$ 

#### d) *Un*computability with Real Functions CS700 M. Ziegler

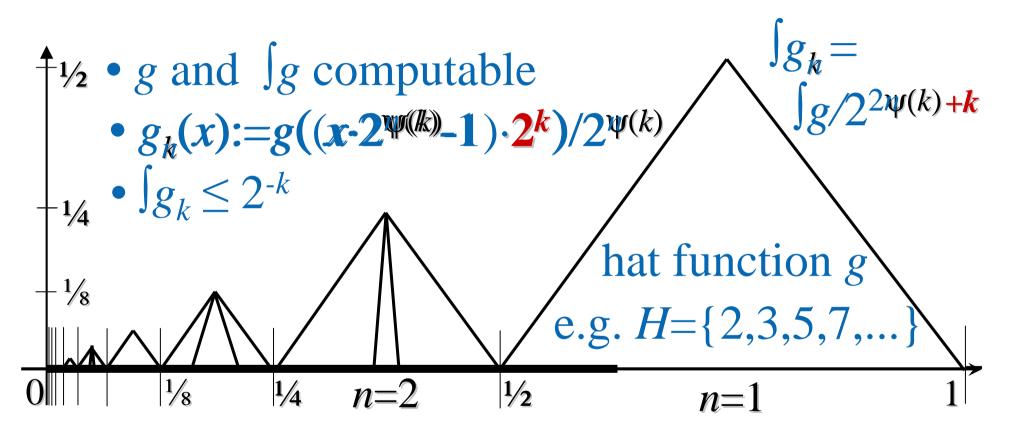


- [Myhill'71]: uncomputable derivative
  - Sufficient condition for computable derivative
- [Pour-El&Richards'81]: uncomputable Wave Equation
  - [Weihrauch&Zhong'02] computable Wave Equation
- [Specker'59]: uncomputable argmin/root
  - Computable Intermediate Value Theorem
- Computable "singular" covering of all computable reals "Any computable functional is continuous!"

# Uncomputable $\partial: C^1[0,1] \rightarrow C[0,1]$



# **Recall** computable bijection $\psi: \mathbb{N} \to H$



$$h':=\sum_{k\in\mathcal{S}_{lk}}g_n$$
 continuous, *un*computable, yet  $h:=\int h'\in C^1[0;1]$  computable.

## e) Computable Derivative



**Theorem:** Suppose  $C^1$   $f:[0;1] \rightarrow \mathbb{R}$  is computable.

Then f' is again computable iff f' has a *computable* modulus of continuity  $\mu'$ .

**Proof:** Given  $x \in \mathbb{R}$ ,  $n \in \mathbb{N}$ , output  $(f(x+\delta)-f(x))/\delta$ .  $\delta := 2^{-\mu'(n)}$ 

Then  $f'(y) = (f(x+\delta)-f(x))/\delta$  for some  $y \in [x,x+\delta]$ :

*Mean Value Theorem.* By hypothesis,  $f'(y) - f'(x) / \le 2^{-n}$ .

**Corollary:** Suppose  $C^{\infty}$   $f:[0;1] \rightarrow \mathbb{R}$  is computable. Then <u>each</u> derivative  $f^{(k)}$ ,  $k \in \mathbb{N}$ , is again computable.

### **Uncomputable Wave Equation**



### **Recall:** computable $h \in \mathbb{C}^1[0,1]$

3D Kirchhoff's formula:

with *un*computable h'(1)

$$u(t, \vec{x}) = \frac{\partial}{\partial t} \left( \frac{1}{4\pi t} \int_{|\vec{y} - \vec{x}| = t} f(\vec{y}) \, d\sigma(\vec{y}) \right)$$

$$+ \frac{1}{4\pi t} \int_{|\vec{y} - \vec{x}| = t} g(\vec{y}) \, d\sigma(\vec{y})$$

$$f(\vec{x}) := h(|\vec{x}|^2)$$

$$u(t,0) = \frac{d}{dt}(h(t^2) \cdot t) = h'(t^2) \cdot 2t^2 + h(t^2)$$

$$\frac{\partial^2}{\partial t^2} u(\underline{x},t) = \Delta u(\underline{x},t), \quad u(\underline{x},0) = h(|\underline{x}|^2) \quad \partial/\partial t \ u(\underline{x},0) = 0$$

# **Computable Wave Equation**



**Example** (spherical coord):  $f(r \cdot \sin\theta \cdot \cos\varphi, r \cdot \sin\theta \cdot \sin\varphi, r \cdot \cos\theta)$ 

$$:= (r-1)\cdot(2-r)\cdot(\varphi-\pi/6)\cdot(\pi/4-\varphi) \text{ for } 1\leq r\leq 2, \pi/6\leq \varphi\leq \pi/4.$$

$$:= 0 \text{ otherwise}$$

$$u(t, \vec{x}) = \frac{\partial}{\partial t} \left( \frac{1}{4\pi t} \int_{|\vec{y} - \vec{x}| = t} f(\vec{y}) \, d\sigma(\vec{y}) \right)$$

 $\Rightarrow u(1,0,0,0) \neq 0 = u(1,0,0,\epsilon) \ \forall \epsilon \neq 0$ : spatial discontinuity

[Weihrauch&Zhong'02] Sobolev space solution computable!

Mathematically well-known loss of regularity "one derivative":

$$u(t,0) = \frac{d}{dt}(h(t^2) \cdot t) = h'(t^2) \cdot 2t^2 + h(t^2)$$

"Any computable functional is continuous!"

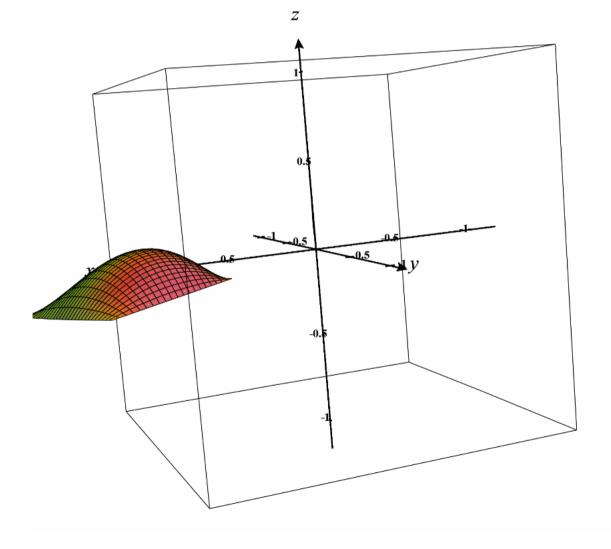
## **Discontinuous Wave Equation**



**Example** (spherical coord):  $f(r \cdot \sin\theta \cdot \cos\varphi, r \cdot \sin\theta \cdot \sin\varphi, r \cdot \cos\theta)$ 

 $:= (r-1)\cdot(2-r)\cdot(\varphi-\pi/6)\cdot(\pi/4-\varphi)$  for  $1 \le r \le 2$ ,  $\pi/6 \le \varphi \le \pi/4$ .

:= 0



### Computable rootof



#### **Computable Intermediate Value Theorem:**

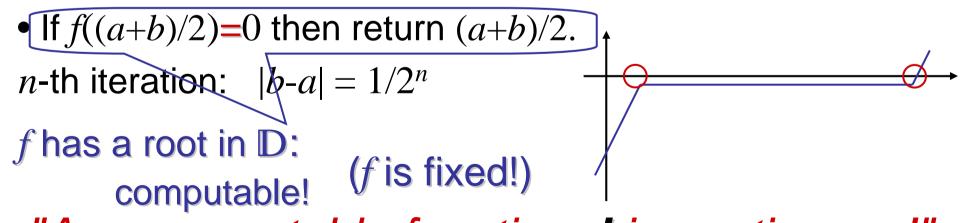
Suppose  $f:[0;1] \rightarrow [-1;1]$  is computable with f(0) < 0 < f(1). Then f has some computable root  $x \in [0;1]$  with f(x)=0.

#### Proof (Bisection):

Initially a:=0, b:=1.

f has (at least one) root in [a;b].

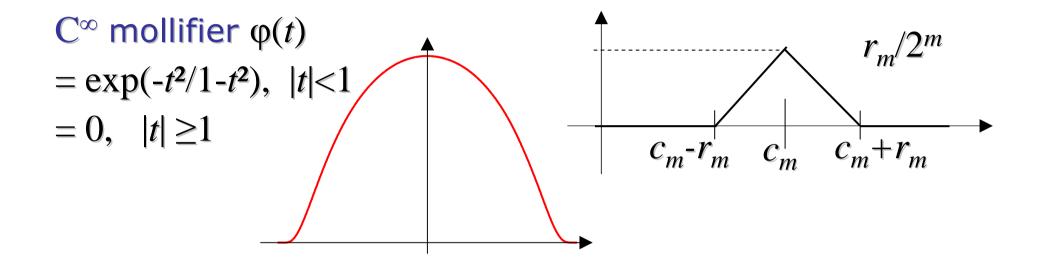
- If f((a+b)/2)<0 then let a:=(a+b)/2 and continue.
- If f((a+b)/2)>0 then let b:=(a+b)/2 and continue.



"Any computable functional is continuous!"

# **Computable Urysohn**





**Proof:** Let 
$$f(t) := \sum_m \varphi(r_m - |t - c_m|)/2^m$$

**Lemma:** Let  $(c_m)_m$ ,  $(r_m)_m \subseteq \mathbb{D}$  be computable sequences. There exists a computable  $\mathbb{C}^{\infty}$  function  $f:[0;1] \to [0;1]$  such that  $f^1[0] = [0;1] \setminus \bigcup_m (c_m - r_m, c_m + r_m)$ .

# Uncomputable argmin, rootof KAISI



Lemma: There exist computable sequences

$$(c_m)_m$$
,  $(r_m)_m \subseteq \mathbb{D}$  such that  $U := \bigcup_m (c_m - r_m, c_m + r_m)$  contains all computable reals in [0;1]

and has measure  $\leq \frac{1}{2}$ .

approximating a root vs. approximate root

**Corollary:** There is a computable  $C^{\infty} f:[0;1] \rightarrow [0;1]$ such that  $f^1[0]$  has measure  $\geq \frac{1}{2}$ but contains no computable real number.

**Lemma:** Let  $(c_m)_m$ ,  $(r_m)_m \subseteq \mathbb{D}$  be computable sequences. There exists a computable  $\mathbb{C}^{\infty}$  function  $f:[0;1] \rightarrow [0;1]$ such that  $f^{1}[0] = [0;1] \setminus \bigcup_{m} (c_{m} - r_{m}, c_{m} + r_{m})$ .

### "A countable real set has measure 0" -



#### **Lemma:** There exist

#### sequences

$$(c_m)_m$$
,  $(r_m)_m \subseteq \mathbb{D}$  such that  $U := \bigcup_m (c_m - r_m, c_m + r_m)$  covers any fixed countable subset of  $[0;1]$ 

and has measure ≤½.

### $\mathcal{P}$ computes $r \in \mathbb{R}$

iff prints sequence  $a_n \subseteq \mathbb{Z}$  with  $|a_n/2^n - a_m/2^m| \le 2^{-n} + 2^{-m}$ 

## **Proof idea** (diagonalize against <u>all</u> $\mathcal{P}$ ):

Simulate program  $\mathcal{P}$  What if  $\mathcal{P}$  does not until it outputs  $(a_0,a_1,...a_{\langle\mathcal{P}\rangle+4})\in\mathbb{Z}^*$  produce infinite output?

$$\text{s.t. } 0 \leq a_n \leq 2^n, \ |a_n/2^n - a_m/2^m| \leq 2^{-n} + 2^{-m} \ \forall n,m \leq \langle \mathcal{P} \rangle + 4$$

and let 
$$c_{\langle \mathcal{P} \rangle} := a_{\langle \mathcal{P} \rangle + 4}/2^{\langle \mathcal{P} \rangle + 4}$$
 and  $r_{\langle \mathcal{P} \rangle} := 1/2^{\langle \mathcal{P} \rangle + 3}$ .

U has measure  $\leq \sum_{\langle P \rangle} 2r_{\langle P \rangle} = 1/2$ .

#### d) *Un*/computability with Real Functions CS700 M. Ziegler



- [Myhill'71]: uncomputable derivative
  - Sufficient condition for computable derivative
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- Computable "singular" covering of all computable reals "Any computable functional is continuous!"

# f) Multifunctions



A partial  $\underline{\textit{multi}}$  function  $G: \subseteq X \Rightarrow Y$  is a relation  $G\subseteq X \times Y / \textit{set}$  function  $G: X \to \mathcal{P}(Y)$ 

- Aka non-extensional "functions"
- Unavoidable in <u>real</u> computation!

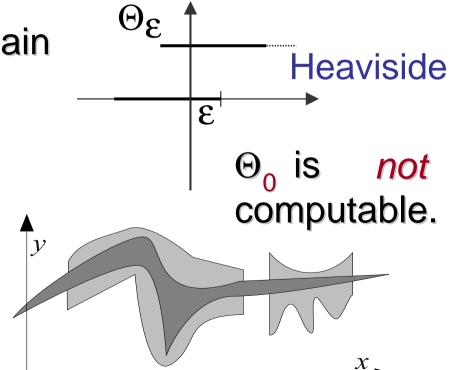
Any computable function is continuous

Restriction  $F \sqsubseteq G$ : smaller domain and/or *larger* range(s).

Function problem:

Input x, output y=G(x);

not necessarily all  $y \in G(x)$ 



# Computable Multifunctions



A partial  $\underline{multi}$  function  $G:\subseteq X \Rightarrow Y$  is a relation  $G\subseteq X\times Y/\overline{set}$  function  $G:X\to P(Y)$ 

**Archimedian Property** of the Reals:

There *is* a computable *multi*function  $f: \mathbb{R} \Rightarrow \mathbb{Z}$  with  $f(r) \ge r$ .

Any computable function is continuous

Fundamental Theorem of Algebra: in which order?? Given  $a_0, ... a_{d-1} \in \mathbb{C}$ , return roots  $x_1, ... x_d \in \mathbb{C}$  of monic  $a_0 + a_1 \cdot X + ... + a_{d-1} \cdot X^{d-1} + X^d \in \mathbb{C}[X]$  incl. multiplicities

**Def:** Compute  $f: \subseteq \mathbb{R} \Rightarrow \mathbb{R}$ :

Convert any  $(a_m)\subseteq \mathbb{Z}$  with  $|x-a_m/2^m| \le 2^{-m}$ ,  $x \in \text{dom}(f)$ , to some  $(b_n)\subseteq \mathbb{Z}$  with  $|y-b_n/2^n| \le 2^{-n}$ ,  $y \in f(x)$ .

# **Enrichment** in Linear Algebra



- rank:  $\mathbb{R}^{d\times d} \rightarrow \mathbb{N}$  discontinuous, uncomputable
  - Gauss' Algorithm: pivoting = test for in/equality
  - dimension/basis of kernel/range, eigenvectors: uncomputable
- rank:  $\subseteq \mathbb{R}^{d \times d} \times \mathbb{N} \ni (A, r = \operatorname{rank}(A)) \rightarrow \operatorname{rank}(A) \in \mathbb{N}$  trivial
  - kernelbasis: (A, r=rank(A))  $\bigoplus \mathbb{R}^{d\times r}$  Computable! [Algorithm: r rounds of LUPQ decomposition with full pivoting...]
  - eigenbasis:  $\{(A,\delta): \text{ symmetric } A \in \mathbb{R}^{d \times (d-1)/2}$   $\delta := \text{Card } \sigma(A)$  has <u>exactly</u>  $\delta \in \mathbb{N}$  <u>distinct</u> eigenvalues $\} \Rightarrow \mathbb{R}^{d \times d}$  Computable!

"*Enrichment*": G.Kreisel&A.Macintyre p.238/239 in "The L.E.J. Brouwer Centenary Symposium"1982 (Troelstra&van Dalen edt.s)

REAL \*\*diagonalize(int d, REAL \*\*matrix);
canonical declaration int nDistinctEValues);

# More Examples of *Enrichment*



**Recall:** Suppose  $C^2$   $f:[0;1] \rightarrow \mathbb{R}$  is computable.

Then f' is again computable !

But must "know" some bound  $B \in \mathbb{N}$  on f''!

#### **Recall:** Computable Intermediate Value Theorem

Suppose  $f:[0;1] \rightarrow [-1;1]$  is computable with f(0) < 0 < f(1).

Then f has some computable root  $x \in [0;1]$  with f(x)=0.

**Enrichment:** root∈ D or "promise": no root∈ D

**Consider** power series  $f(z) = \sum_{m} c_{m} \cdot z^{m}$ ,  $c_{m} \in \mathbb{C}$  computable.

Radius of converg.  $0 < R = 1/\limsup_{m} |c_m|^{1/m}$ .

Fix any r < R.  $\exists B \in \mathbb{N} \ \forall m$ :  $|c_m| \le B/r^m$ .

 $\Rightarrow$  computable tail bound  $|\sum_{m>M} c_m \cdot z^m| \leq$  geometric series.

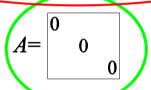
# (d-1)-fold Advice does not suffice for dxd Symmetric Matrix Diagonalization

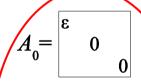


0	0
0	0

3	0
0	0

в	3	
3	3	



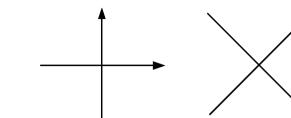


$$A_1 = \begin{bmatrix} \varepsilon & \varepsilon \\ \varepsilon & \varepsilon \\ & 0 \end{bmatrix}$$

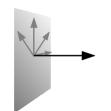
ε+δ ε-δ	ε+δ	ε-δ	-δ
$\epsilon+\delta$ $\epsilon-\delta$ $\epsilon-\delta$ $\epsilon+\delta$	ε-δ	$\epsilon$ - $\delta$ $\epsilon$ + $\delta$	δ
0	-δ	δ	δ
$=A_{10}$	$=A_{11}$		
1 1 0	1		1

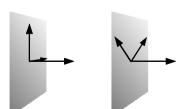
1	ì	0	1	-1	1
1	-1	0	1	1	-1
0	0	1	0	1	2



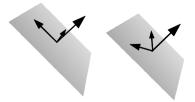












# g) Computing Real Operators



Compute  $r \in \mathbb{R}$ : print sequence  $(a_n) \subseteq \mathbb{Z}$  st.  $|r - a_n/2^n| \le 2^{-n}$ 

**Recall:** To compute  $f:K \subseteq \mathbb{R} \to \mathbb{R}$  means:

Convert any  $(a_m)\subseteq \mathbb{Z}$  with  $|x-a_m/2^m| \le 2^{-m}$ ,  $x \in \text{dom}(f)$ , to some  $(b_n)\subseteq \mathbb{Z}$  with  $|y-b_n/2^n| \le 2^{-n}$ , y=f(x).

**Equivalent** (Weierstraß): <u>print</u> a sequence (of degrees and coefficient lists of)  $(P_n) \subseteq \mathbb{D}[X]$  with  $||f - P_n||_{\infty} \le 2^{-n}$ 

**Definition:** To compute  $\Xi:\subseteq C(K) \to C(K')$  means: Convert any  $(P_m)\subseteq D[X]$  with  $||f-P_m||_\infty \le 2^{-m}$ ,  $f\in dom(\Xi)$ , to some  $(Q_n)\subseteq D[X]$  with  $||g-Q_n||_\infty \le 2^{-n}$ ,  $g=\Xi(f)$ .

Any computable functional/operator is continuous!

# Non/uniform Un/computability



*Non*-uniform computability: "If f is computable, so is  $\Lambda(f)$ ".

**Stronger** *uniform* computability: " $\Lambda: f \to \Lambda(f)$  is computable"

Applies also to *un*computable f, requires way of encoding f!

Uniformly computable

 $\Rightarrow$  continuous.

#### Discontinuous:

- $\partial: C^1[0,1] \rightarrow C[0,1]$
- rootof

**Definition:** To compute  $\Xi:\subseteq C(K) \to C(K')$  means:

Convert any  $(P_m) \subseteq \mathbb{D}[X]$  with  $||f - P_m||_{\infty} \le 2^{-m}$ ,  $f \in \text{dom}(\Xi)$ ,

to some  $(Q_n) \subseteq \mathbb{D}[X]$  with  $||g - Q_n||_{\infty} \le 2^{-n}$ ,  $g = \Xi(f)$ .

Any computable functional/operator is continuous!

# **Uniformly Computable Op.s**



- a) Pointwise addition, multiplication are computable.
- **b)** Composition  $(f,g) \rightarrow g \circ f$  is computable. So is **join**.
- c) The operators  $\int$  and  $\max()$  are computable, where  $\int f: x \rightarrow \int^x f(t) dt$  and  $\max(f): x \rightarrow \max\{f(t): t \le x\}$ .
- d) Uncomputable:  $\bullet \partial: C^1[0,1] \to C[0,1]$   $\bullet$  rootof

**Definition:** To compute  $\Xi:\subseteq C(K) \to C(K')$  means: Convert any  $(P_m)\subseteq D[X]$  with  $||f-P_m||_\infty \le 2^{-m}$ ,  $f\in dom(\Xi)$ , to some  $(Q_n)\subseteq D[X]$  with  $||g-Q_n||_\infty \le 2^{-n}$ ,  $g=\Xi(f)$ .

**Non-uniform:** "Fix computable f,g. Then f+g is computable"

## **Encoding Compact Subsets**



Mark Braverman

**Examples/applications:** Computing Fractals

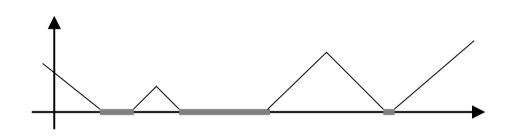
IN MATHEMATICS

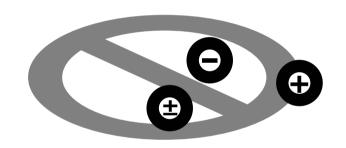
Michael Yampolsky

**Def:** Call  $A \in \mathcal{K}(X)$  computable if

Computability of Julia Sets

- a) the distance function  $d_A$  is computable
- **b)** the soft characteristic *multi*function  $2_A$  is computable







Soft characteristic *multi*function of  $A \subseteq X$ :

$$2_A(x,n) = + \text{ if } d_A(x) \le 2^{-n}, \ 2_A(x,n) = - \text{ if } d_A(x) \ge 2^{-n-1}$$

(*X*,*d*) metric space

Distance function of  $A \subseteq X$ :  $d_A: X \ni \underline{x} \rightarrow \inf\{ d(\underline{x},\underline{a}) : \underline{a} \in A \} \in \mathbb{R}$ 

 $\mathcal{K}(X) = \{ \text{ non-empty compact subsets of topolog. space } X \}$ 

## **Un/computable Set Operations**



$$d_A:X\ni x\to\inf\{\ d(x,a):a\in A\ \}\in\mathbb{R}$$

$$2_A(x,n) = + \text{ if } d_A(x) \le 2^{-n},$$

**Def:** Call  $A \in \mathcal{K}(X)$  computable

$$2_A(x,n) = - \text{ if } d_A(x) \ge 2^{-n-1}$$

- a) if the distance function  $d_A$  is computable
- **b)** if the soft characteristic *multi*function  $2_A$  is computable

Theorem: (a) and (b) are equivalent [even uniformly].

**Proof,** a)  $\Rightarrow$  b): immediate.

b) 
$$\Rightarrow$$
 a): scan grid of width  $2^{-n-1}$ 

$$= 2^{-n}$$

$$d_{\cdot}(x) = ? \pm 2^{-n}$$

Theorem (Boolean operations on compact sets):

- a)  $\cup$  is computable:  $\sqrt{\phantom{a}}$
- **b)**  $\cap$  is *un*computable:

# §2 Computability over the Reals



#### a) Computing Real Numbers

- Three equivalent notions,
- counter/examples, oracle-computable reals

#### b) Computing Real Sequences

- semi-decidability / strong undecidability of Equality
- every computable sequence misses a computable Real

#### c) Computing Real Functions

- •closure properties: composition, restriction, sequences
- necessarily continuous
- Computable Weierstrass Theorem
- quantitative continuity

# §2 Computability over the Reals



#### d/e) <u>Un</u>/computability with Real Functions

- un/computable Derivative
- un/computable Wave Equation
- un/computable Root Finding

#### f) Multi-Functions & Enrichment

- generalized restriction, fundamental theorem of algebra
- real computability, fuzzy sign, Archimedian property
- •linear algebra, analytic functions

#### g) Computing Real Operators

- Encoding continuous functionsUniform computability
- Encoding compact subsetsBoolean Set Operations