

# Syllabus

## 4. Graph Problems

- Recap on Graphs: un/directed, weighted
- Shortest Paths: single-source, all-pairs
- Minimum Spanning Tree: Prim, Kruskal
- Maximum Flow: Ford-Fulkerson, Edmonds-Karp
- Maximum (weighted) Bipartite Matching
- Minimum Cut

## 4. Graph Problems

## graph recap

**Specification:** Graph  $G=(V,E)$ ,  $n=\#V$  vertices,  $m=\#E$  edges

Basic graph concepts:

- simple: no *multi*-edges

nor loops

- in-/out-/degree

- (un-/directed) path

- (strongly) connected component

- subgraph, induced graph

$E \subseteq V \times V$  directed edges

$E$  symmetric: undirected edges

$w: E \rightarrow \mathbb{N}$  edge weights  $\infty/0$ : absent  
1: present

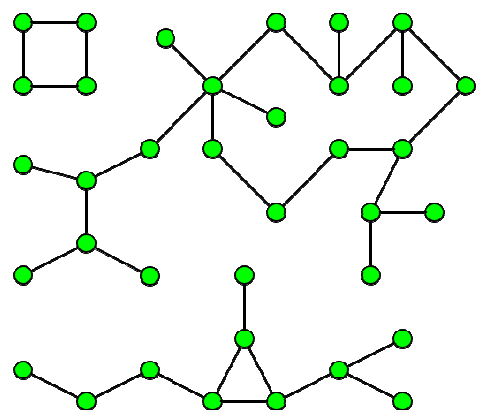
**Handshaking lemma:**

$$\#E = \sum_{v \in V} \text{indeg}(v) = \sum_{v \in V} \text{outdeg}(v)$$

**Adjacency/weight matrix**

$$A_G \in \mathbb{N}^{V \times V}$$

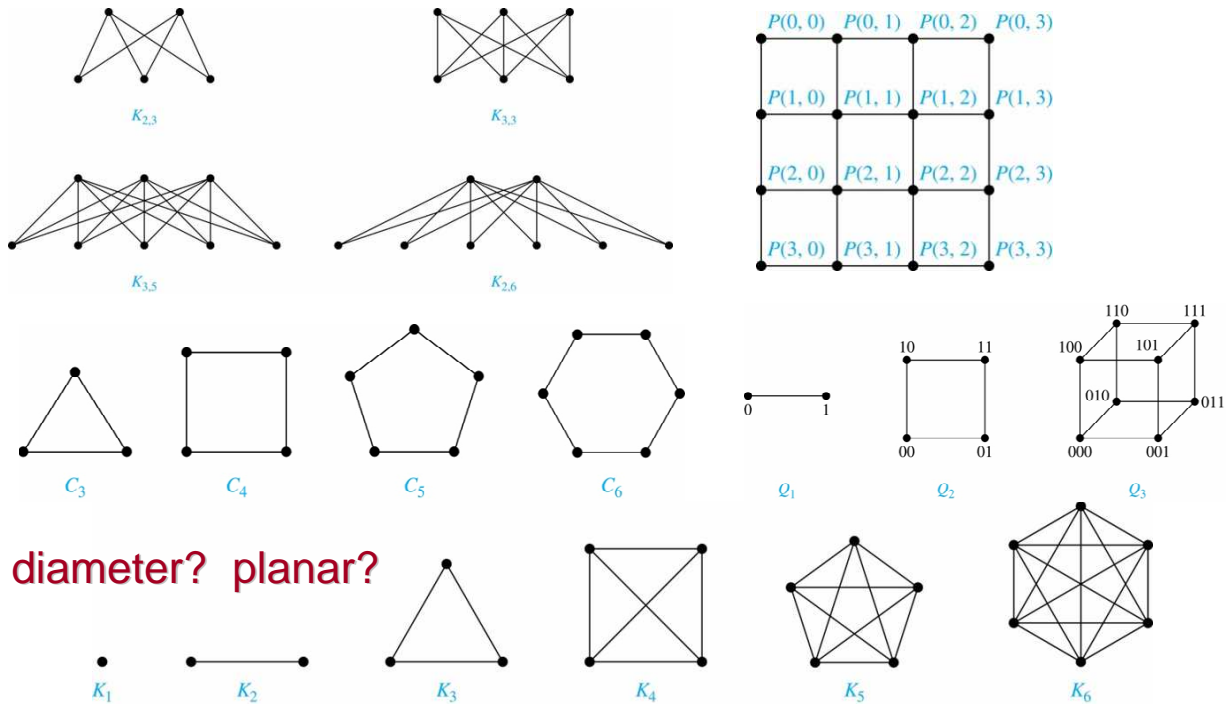
Powers of  $A_G$



# 4. Graph Problems

## graph examples

Specification: Graph  $G=(V,E)$ ,  $n=\#V$  vertices,  $m=\#E$  edges



diameter? planar?

# 4. Graph Problems

## Connectedness

Specification: Graph  $G=(V,E)$ ,  $n=\#V$  vertices,  $m=\#E$  edges

Input:  $A_G$ ;  $s, t \in V$

Adjacency/weight matrix  $A_G \in \mathbb{N}^{V \times V}$

Output: Is there a (directed) path from  $s$  to  $t$  in  $G$ ?

$A_{u,v} = \infty$   
no edge

$A_{u,u} = 0$

**DFS**( $v$ ) // Is  $t$  reachable in  $G$  from  $v$ ?

If  $v$  is marked *visited*

Return (**false**);

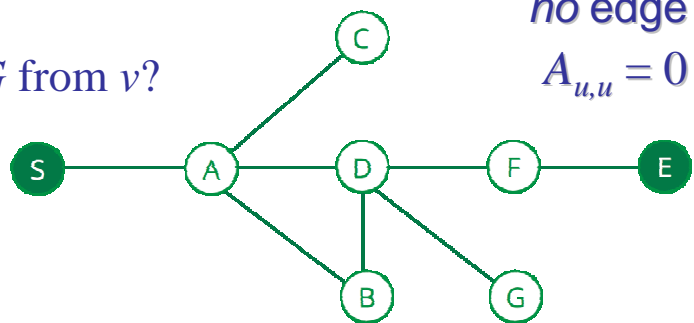
If  $v=t$  Return (**true**);

Mark  $v$  as *visited*;

For each neighbor  $u$  of  $v$  do

if **DFS**( $u$ ) Return (**true**);

Return (**false**);



**Reachable**( $G,s,t$ )

For each vertex  $v \in V$

Mark  $v$  as *unvisited*;

Return **DFS**( $s$ )

# 4. Graph Problems

## Shortest path(s)

**Specification:** Graph  $G=(V,E)$ ,  $n=\#V$  vertices,  $m=\#E$  edges

**Input:**  $A_G; s \in V$  Adjacency/weight matrix  $A_G \in \mathbb{N}^{V \times V}$

**Output:** For every  $t \in V$ , weight  $d(s,t)$  of lightest path from  $s$  to  $t$ .

### Dijkstra's Algorithm:

Mark all vertices *unvisited*.

Initialize  $Q:=V$ .

For each vertex  $v$  let  $d_v := \infty; d_s := 0$ .

While  $Q \neq \emptyset$  do Correctness???

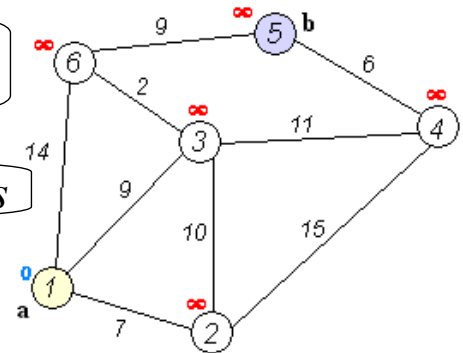
Extract from  $Q$  a vertex  $u$  with least  $d_u$ .

For each *unvisited* neighbor  $u$  of  $v$  do

If  $d' := d_u + A_{uv} < d_v$  then decrease  $d_v := d'$ .

$O(n \cdot \text{extractMin} + m \cdot \text{decreaseKey})$

array  $O(n \cdot n + m \cdot 1)$



# 4. Graph Problems

## All shortest paths

**Specification:** Graph  $G=(V,E)$ ,  $n=\#V$  vertices,  $m=\#E$  edges

**Input:**  $A_G$  Adjacency/weight matrix  $A_G \in \mathbb{N}^{V \times V}$

**Output:** For every  $t \in V$ , weight  $d(s,t)$  of lightest path from  $s$  to  $t$ .

Loop invariant  $d_v \geq d(s,v)$ . Suppose  $M := \{ v : d_v > d(s,v) \} \neq \emptyset$ .

Then  $\delta := \min\{ d(s,v) : v \in M \}$  and  $v \in M$  with  $d(s,v) = \delta$  exist.

For  $(s, \dots, u, v)$  a lightest path to  $v$ , it holds  $\delta > d(s,u) = d_u$ .

Thus  $d(s,v) = d(s,u) + A_{uv}$  and  $u$  gets extracted from  $Q$  before  $v$ .  $\Leftarrow$

For correctness, recall main loop: While  $Q \neq \emptyset$  do

Extract from  $Q$  a vertex  $u$  with least  $d_u$ . Mark  $u$  as *visited*.

For each *unvisited* neighbor  $u$  of  $v$  do

If  $d' := d_u + A_{uv} < d_v$  then decrease  $d_v := d'$ .

in increasing order w.r.t.  $d$

# 4. Graph Problems

## All shortest paths

Specification: Graph  $G=(V,E)$ ,  $n=\#V$  vertices,  $m=\#E$  edges

Input:  $A_G$  Adjacency/weight matrix  $A_G \in \mathbb{N}^{V \times V}$

Output: For all  $s,t \in V$ , weight  $d(s,t)$  of lightest path from  $s$  to  $t$ .

### Floyd-Warshall Algorithm:

For all pairs  $(u,v)$  of vertices, initialize  $d_{u,v} := A_{u,v}$

For each vertex  $u \in V$

For each vertex  $v \in V$

For each vertex  $w \in V$

If  $d_{v,w} > d_{v,u} + d_{u,w}$  then

$d_{v,w} := d_{v,u} + d_{u,w}$

Correctness?

runtime  $O(n^3)$

$A_{u,v} = \infty$   
no edge

$A_{u,u} = 0$

# 4. Graph Problems

## Min. Spanning Tree

Specification: Graph  $G=(V,E)$ ,  $n=\#V$  vertices,  $m=\#E$  edges

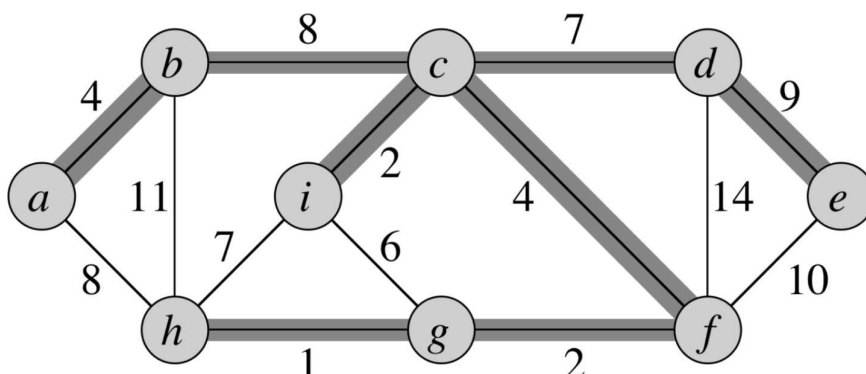
Input:  $A_G$  **Symmetric** adjacency/weight matrix  $A_G \in \mathbb{N}^{V \times V}$

Output:  $T \subseteq E$  spanning tree of least weight

s.t.  $(V,T)$  connected

$A_{u,v} = \infty$   
no edge

$A_{u,u} = 0$



# 4. Graph Problems

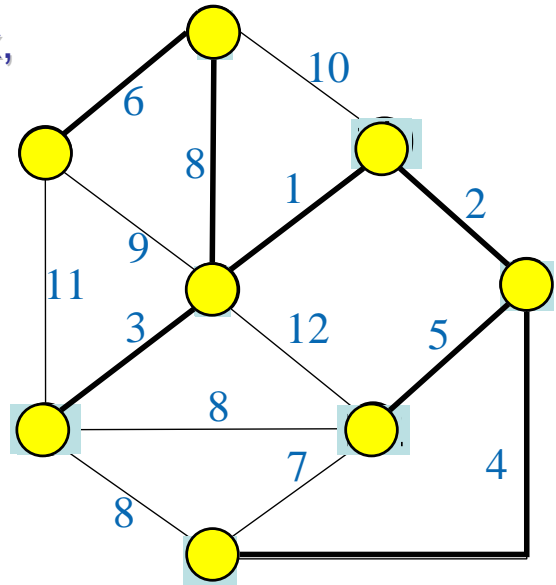
# Prim's Algorithm

**Specification:** Graph  $G=(V,E)$ ,  $n=\#V$  vertices,  $m=\#E$  edges

**Input:**  $A_G$  **Symmetric** adjacency/weight matrix  $A_G \in \mathbb{N}^{V \times V}$

**Output:**  $T \subseteq E$  spanning tree of least weight

1. Initialize a tree with a single vertex, chosen arbitrarily from the graph.
2. Grow the tree by one edge:  
Of the edges that connect the tree to vertices not yet in the tree, **find the minimum-weight edge**, and transfer it to the tree.
3. Repeat step 2 (until all vertices are in the tree).



# 4. Graph Problems

# Prim's Algorithm

**Specification:** Graph  $G=(V,E)$ ,  $n=\#V$  vertices,  $m=\#E$  edges

**Input:**  $A_G$  **Symmetric** adjacency/weight matrix  $A_G \in \mathbb{N}^{V \times V}$

**Output:**  $T \subseteq E$  spanning tree of least weight

Initialize  $F:=\emptyset$ ,  $Q:=V$ . Also:  
 $d_v:=\infty$  and  $e_v:=0$  for all  $v \in V$ .

While  $Q \neq \emptyset$  do

**Extract from  $Q$   
a vertex  $u$  with least  $d_u$ .**

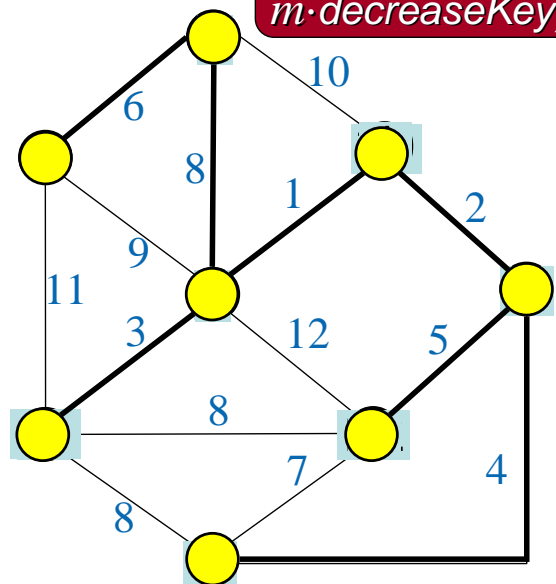
If  $e_u \neq 0$ , add edge  $(u, e_u)$  to  $F$ .

For each neighbor  $v \in Q$  of  $u$  do

If  $A_{uv} < d_v$  then

**decrease  $d_v := A_{uv}$ ;  $e_v := u$ ;**

$O(n \cdot \text{extractMin} + m \cdot \text{decreaseKey})$



# 4. Graph Problems

## Kruskal Algorithm

**Specification:** Graph  $G=(V,E)$ ,  $n=\#V$  vertices,  $m=\#E$  edges

**Input:**  $A_G$  **Symmetric** adjacency/weight matrix  $A_G \in \mathbb{N}^{V \times V}$

**Output:**  $T \subseteq E$  spanning tree of least weight

Initialize the forest (=set of trees) with edges  $F:=\{\}$ , i.e., such that each vertex  $v \in V$  is a separate tree.

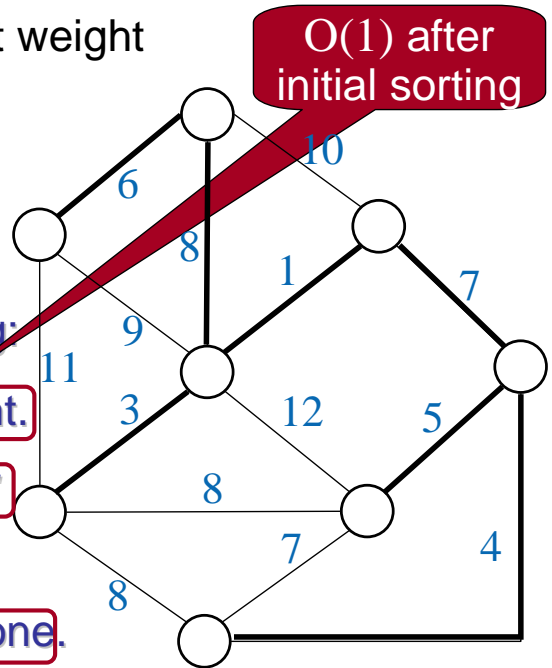
While  $E \neq \{\}$  and  $F$  is not yet spanning:

Extract from  $E$  edge  $e$  of least weight.

If  $e$  connects two different trees of  $F$

then add  $e$  to  $F$ , thus

combining two trees into a single one.



# 4. Graph Problems

## Max Flow

**Specification:** Graph  $G=(V,E)$ ,  $n=\#V$  vertices,  $m=\#E$  edges

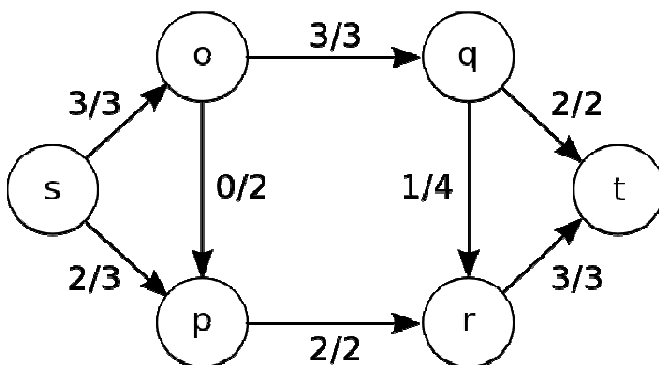
**Input:**  $s, t \in V$ ,  $A_G$  adjacency/weight matrix  $A_G \in \mathbb{N}^{V \times V}$

**Output:**  $f: E \rightarrow \mathbb{N}$  max. flow from  $s$  to  $t$

**Goal:** maximize

$$\sum_{v:(s,v) \in E} f(s,v) = \sum_{u:(u,t) \in E} f(u,t)$$

$f$  flow (from  $s$  to  $t$ )



**Lemma:** There exists an *integral* maximal flow.

**Def:** A **flow** from  $s$  to  $t$  in  $G$  with weights  $A \geq 0$  is a function  $f: E \rightarrow \mathbb{R}$  such that  $\forall v \in V \setminus \{s, t\}: \sum_{u:(u,v) \in E} f(u,v) = \sum_{w:(v,w) \in E} f(v,w)$ . It is **admissible** if it holds  $f(u,v) \leq A_{u,v}$

# 4. Graph Problems

## Ford-Fulkerson

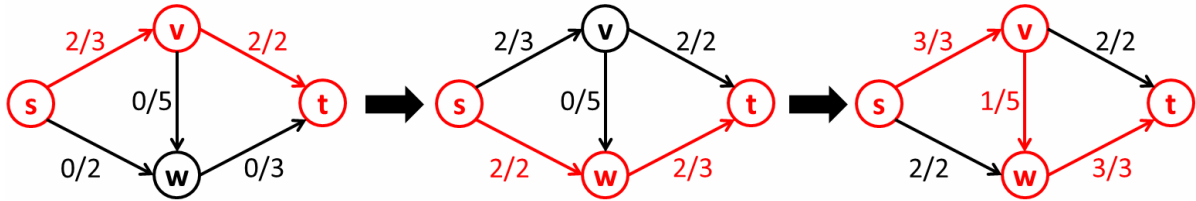
**Specification:** Graph  $G=(V,E)$ ,  $n=\#V$  vertices,  $m=\#E$  edges

**Input:**  $s,t \in V$ ,  $A_G$  adjacency/weight matrix  $A_G \in \mathbb{N}^{V \times V}$

**Output:**  $f: E \rightarrow \mathbb{N}$  max. flow from  $s$  to  $t$

**Goal:** maximize  $|f| := \sum_{v:(s,v) \in E} f(s,v)$

The residual  $G_f$  of a graph  $G$  with flow  $f$  has edges  $E_f := \{ (u,v) : A_{u,v} > f(u,v) \vee f(v,u) > 0 \}$



**Ford-Fulkerson:** Initialize  $f \equiv 0$ . **Correctness?** **Runtime  $O(m \cdot |f|)$**

While there exists a path  $P = (s=u_1, \dots, u_K=t)$  from  $s$  to  $t$  in  $G_f$

Let  $\alpha := \min \{ A_{u_k, u_{k+1}} - f(u_k, u_{k+1}) : k=1 \dots K-1 \}$  and  $f := f + \alpha \cdot P$ .

# 4. Graph Problems

## Edmonds-Karp

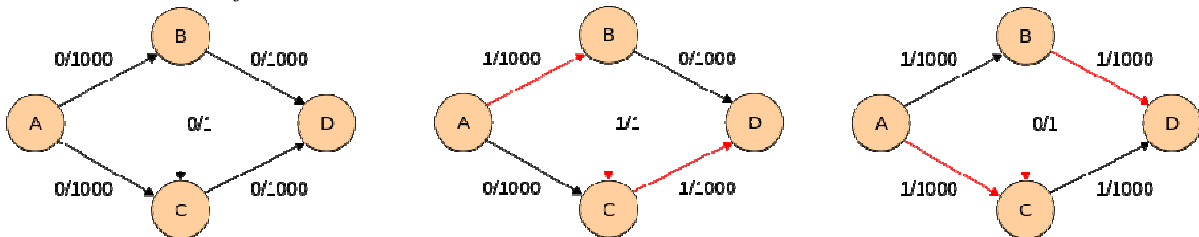
**Specification:** Graph  $G=(V,E)$ ,  $n=\#V$  vertices,  $m=\#E$  edges

**Input:**  $s,t \in V$ ,  $A_G$  adjacency/weight matrix  $A_G \in \mathbb{N}^{V \times V}$

**Output:**  $f: E \rightarrow \mathbb{N}$  max. flow from  $s$  to  $t$

**Goal:** maximize  $|f| := \sum_{v:(s,v) \in E} f(s,v)$

The residual  $G_f$  of a graph  $G$  with flow  $f$  has edges  $E_f := \{ (u,v) : A_{u,v} > f(u,v) \vee f(v,u) > 0 \}$



**Edmonds-Karp:** Initialize  $f \equiv 0$ . **shortest** **Runtime  $O(n \cdot m^2)$**

While there exists a path  $P = (s=u_1, \dots, u_K=t)$  from  $s$  to  $t$  in  $G_f$

Let  $\alpha := \min \{ A_{u_k, u_{k+1}} - f(u_k, u_{k+1}) : k=1 \dots K-1 \}$  and  $f := f + \alpha \cdot P$ .

# 4. Graph Problems

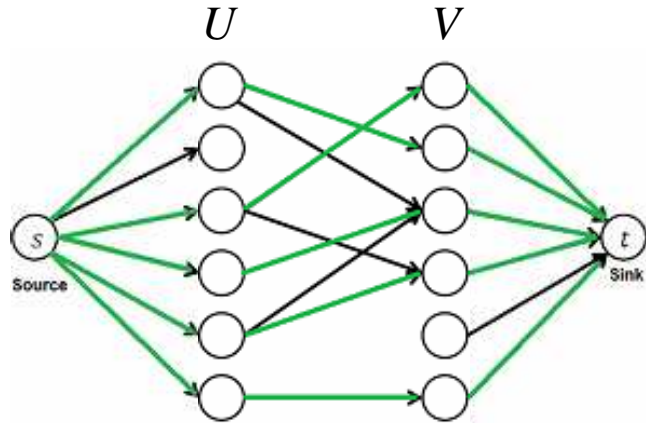
## max. Bipartite Matching

Specification: Bipartite graph  $G=(U,V,E)$

Input:  $A_G$

adjacency/weight matrix  $A_G \in \mathbb{N}^{U \times V}$

Output:  $F \subseteq E$  max. (weighted) matching



**Edmonds-Karp:** Initialize  $f \equiv 0$ . shortest

Runtime  $O(n \cdot m^2)$

While there exists a path  $P$  from  $s$  to  $t$  in  $G_f$

Let  $\alpha := \min \{ A_{u_k, u_{k+1}} - f(u_k, u_{k+1}) : k=1 \dots K-1 \}$  and  $f := f + \alpha \cdot P$ .

# 4. Graph Problems

## Min Cut

Specification: Graph  $G=(V,E)$ ,  $n=\#V$  vertices,  $m=\#E$  edges

Input:  $s, t \in V$ ,  $A_G$

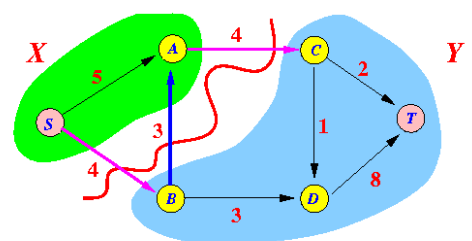
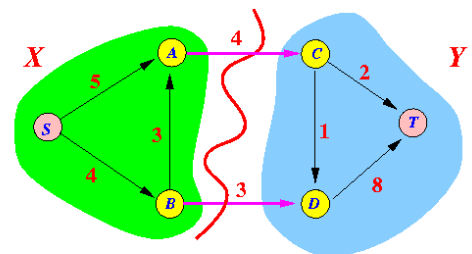
adjacency/weight matrix  $A_G \in \mathbb{N}^{V \times V}$

Output:  $C \subseteq E$  min.cut between  $s, t$

Def: A cut from  $s$  to  $t$  in  $G$

is a subset  $C \subseteq V$  s.t.  $s \in C$ ,  $t \notin C$ .

It has capacity  $\lambda(C) = \sum_{\substack{(u,v) \in E \\ u \in C, v \notin C}} A_{u,v}$



**Theorem:**  $\min_{C \text{ cut } (s,t)} \lambda(C) = \max_{f \text{ flow } (s,t)} |f|$

**Proof "≥":** For every  $C, f$ :  $\lambda(C) \geq |f|$ .

"≤": Consider  $C \subseteq V$  all vertices reachable from  $s$  in  $G_f$  for max.  $f$  from Ford-Fulkerson.