

# §1 Introduction

- "Virtues" of Computer *Science*
- Classes of asymptotic growth
- Importance of asymptotic efficiency
- Sorting: specification and optimality

# Recommended Literature

- Cormen, Leiserson, Rivest (&Stein):  
*Introduction to Algorithms*, MIT Press.
- Dasgupta, Papadimitriou, Vazirani: *Algorithms*, McGraw-Hill
- Kleinberg, Tardos: *Algorithm Design*, Pearson.
- Vöcking, Alt, Dietzfelbinger, Reischuk, Scheideler, Vollmer, Wagner: *Algorithms Unplugged*, Springer.
- *Introduction to the Analysis of Algorithms*  
(Robert Sedgewick and Philippe Flajolet)
- *Online Computation and Competitive Analysis*  
(Allan Borodin and Ran El-Yaniv)
- *Probability and Computing: Randomized Algorithms and Probabilistic Analysis* (Michael Mitzenmacher and Eli Upfal)
- M. Sipser: *Introduction to the theory of computation*, Boston.

# Computer Science

*Design & Analysis  
of Algorithms*  
Martin Ziegler

## "Virtues":

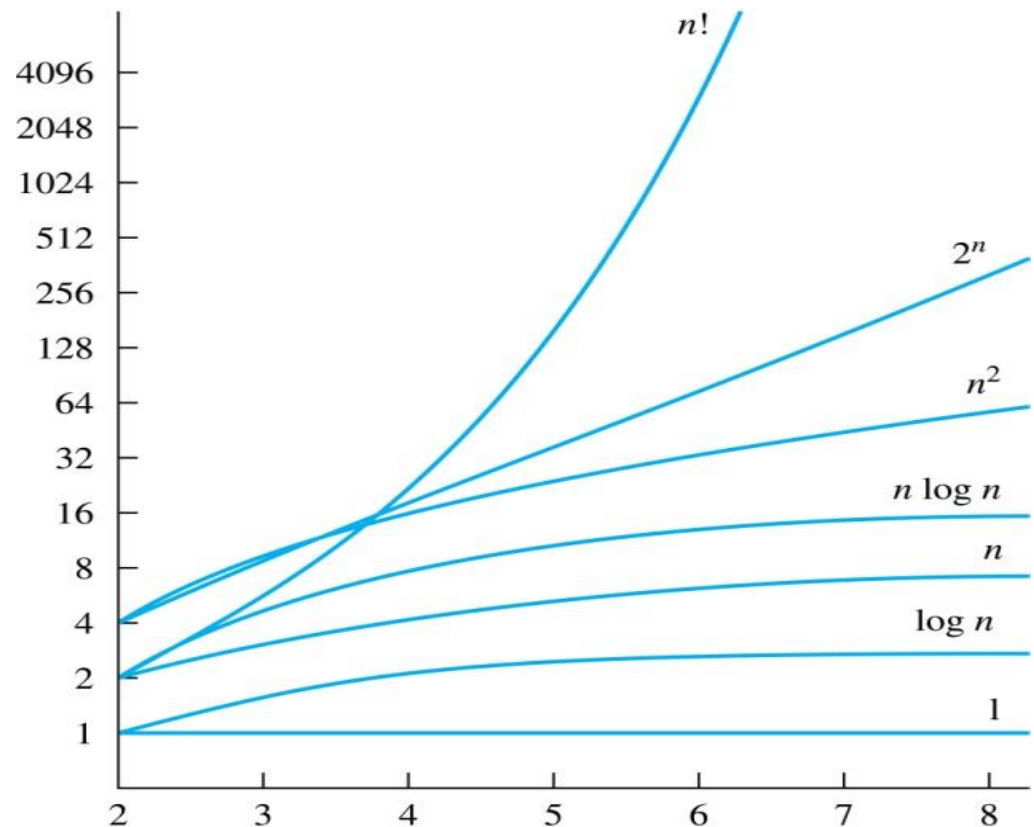
- problem specification
  - formal semantics
  - algorithm design
  - and analysis  
(correctness, efficiency)
  - proof of optimality  
(complexity theory, §6)
- ≠ program  
≠ heuristic



# Asymptotic Growth

- constant 17
- logarithmic  $\log(n)$
- square root  $\sqrt{n}$
- linear  $n$
- quasilinear  $n \cdot \log(n)$
- quadratic  $n^2$
- cubic  $n^3$
- polynomial  $c \cdot n^c$
- superpolynomial  $n^{\log(n)}$
- exponential  $2^n$
- doubly exponential  $2^{2^n}$
- tetration  $2^{2 \dots 2^n}$

Landau „big-Oh“ notation  $f = O(g)$



# Asymptotic Efficiency

$n$	$\log_2 n \cdot 10\text{s}$	$n \cdot \log n$ sec	$n^2$ msec	$n^3$ $\mu\text{sec}$	$2^n$ nsec
10	33sec	33sec	0.1sec	1msec	1msec
100	$\approx 1\text{min}$	11min	10sec	1sec	40 bill. y
1000	$\approx 1.5\text{min}$	$\approx 3\text{h}$	17min	17min	
10 000	$\approx 2\text{min}$	1.5 days	$\approx 1$ day	11 days	
100 000	$\approx 2.5\text{min}$	19 days	4 months	32 years	

- Running times of some sorting algorithms
  - **BubbleSort**:  $O(n^2)$  comparisons and copy instructions
  - **QuickSort**: typically  $O(n \cdot \log n)$  steps  
but  $O(n^2)$  in the worst-case
  - **HeapSort**: always at most  $O(n \cdot \log n)$  operations
  - **BucketSort**:  $O(n)$  operations
    - SORT primitive:  $O(1)$
- **Worst-case vs. average-case vs. best case**  
w.r.t. input size  $=: n \rightarrow \infty$

# Sorting: Specification, Optimality

**Specification:** Fix set  $X$  with total order  $\leq$ .

**Input:**  $N \in \mathbb{N}$  and array  $x[1 \dots N]$  with values in  $X$

**Output:** *Permutation*  $\pi: [1 \dots N] \rightarrow [1 \dots N]$  s.t.  $x_{\pi(1)} \leq \dots \leq x_{\pi(N)}$

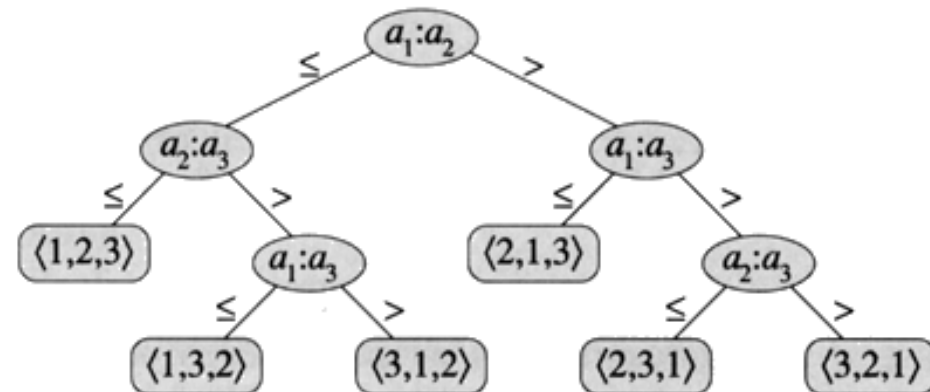
**Lemma:** a) For fixed  $N$ , every *comparison-based* sorting algorithm of worst-case runtime  $T(N)$  can be “unrolled” into a *decision tree* for sorting  $N$  elements of depth  $\leq T(N)$ .

b) Every permutation  $x = \pi$  ends up in the unique leaf with label  $\pi^{-1}$ .

c) A binary tree with  $N!$  leaves has depth  $\geq \log(N!) = \Theta(N \cdot \log N)$

**Definition:** A *Decision Tree* for sorting  $N$  elements is a binary tree whose internal nodes are labeled with tests “ $x[i] \leq x[j]?$ ”

and whose leaves are labeled with permutations  $\pi$  such that every input  $x$  “ends up” in a leaf whose label  $\pi$  satisfies  $x[\pi[1]] \leq \dots \leq x[\pi[N]]$ .



# §1 Introduction Recap

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